

Accounting for height harmony in five-vowel Bantu languages:  
*Positional faithfulness and feature co-occurrence constraints*

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# Introducing Bantu height harmony

- Height harmony (HH) is extremely common among Bantu languages (e.g. Clements 1991, Hyman 1999, 2003).
- Work has tended to focus on the most frequently found variety: “**canonical**” **asymmetric HH** (e.g. Moto 1989, Hyman 1991, Scullen 1992, Harris 1994, 1997, Steriade 1995, Mutaka 1995, Downing 2010).
- Indeed, this has been described as ‘**a classic phonology problem**’ (Downing & Mtenje 2017:75).

# In this talk I will...

- Discuss five patterns from five five-vowel languages.
- Give some background on these languages.
- Introduce Beckman's (1997) analysis of HH in Shona:
  - Positional faithfulness and feature co-occurrence constraints.
  - Multiple linking allows for a reduction in the total number of tokens of a given autosegment or combinations of autosegments.
- Apply this to S. Kongo, Pende, Punu and Lozi.
- Show that this is able to account for HH in S. Kongo and Pende.
- But not so for Punu and Lozi.

# The chosen five

- **Shona** (S.12; Zimbabwe, Mozambique): canonical HH;
- **S. Kongo** (H.16a; Angola): non-canonical HH;
- **Pende** (L.11; D.R. Congo): non-canonical HH;
- **Lozi** (K.21; Zambia etc.): non-canonical HH;
- **Punu** (B.43; Gabon, R. Congo): no HH.
- (All Guthrie codes follow Maho 2009)

# Geographical context



# Some generalisations I

- Lowering of vowels in verbal suffixes by preceding stem vowels.
  - Commonly affected suffixes: causative, applicative, reversive etc.
  - High /i u/ lowered to mid /e o/ by preceding mid vowels.
  
- Final vowels fall outside the domain of harmony.
  - But, see seven-vowel Koyo (C.24), where final /a/ may be raised (Hyman 1999:240).
  
- Low /a/ is opaque and neither triggers nor undergoes lowering; it therefore seems to form a natural class with high /i u/.
  - Though, as we shall see, this is not *entirely* true for Pende.

## Some generalisations II

- HH is also usually **asymmetric w.r.t. rounding** (or backness).
  - /i/ is lowered after both /e o/ whereas /u/ is lowered only after /o/.
  - This is both common currently and robust historically (Hyman 1999:238,245).
  
- Thus, for many languages, HH can, descriptively at least, be split into **front** (FHH) and **back height harmony** (BHH)

### Canonical five-vowel Bantu HH

- (1) a. Front height harmony:  $i \rightarrow e / \{e\} C\_$   
 b. Back height harmony:  $u \rightarrow o / o C\_$

# The present subset I

- The five systems dealt with today are summarised below.

Shona		S. Kongo		Pende		Punu		Lozi	
i·i	i·u	i·i	i·u	i·i	i·u	i·i	i·u	i·i/e	i·u
u·i	u·u	u·i	u·u	u·i	u·u	u·i	u·u	u·i/e	u·u
<u>e·e</u>	e·u	<u>e·e</u>	<u>e·o</u>	<u>e·e</u>	e·u	e·i	e·u	e·i/e	e·u
<u>o·e</u>	<u>o·o</u>	<u>o·e</u>	<u>o·o</u>	<u>o·e</u>	<u>o·o</u>	o·i	o·u	o·i/e	<u>o·o</u>
a·i	a·u	a·i	a·u	<u>a·e</u>	a·u	a·i	a·u	a·i/e	a·u

Table 1: Height harmony systems in five-vowel Bantu languages

- FHH contexts on the left; BHH contexts on the right.
- Bolding and underlining highlight changes in vowel height.



## The present subset II

- The canonical pattern in Shona is overwhelmingly the commonest in five-vowel Bantu languages (Hyman 1999:236–46).
- Few languages lack HH, as Punu does, or exhibit non-canonical HH, such as Pende and Lozi.
- The symmetric pattern in S. Kongo is exceedingly rare among five-vowel Bantu languages, being limited to S. Kongo itself and closely related varieties (Hyman 1999:242).
- Incidentally, there are no (convincing) reported cases of a Bantu language with a “reverse Lozi” system (i.e. lacking BHH but having FHH; Hyman 1999:245).

# Shona overview

- As reported in Beckman (1997) and elsewhere, Shona exhibits the canonical HH pattern for a five-vowel Bantu language.
- In FHH contexts, unrounded /i/ is lowered to /e/ after both /e o/.
- In BHH contexts, rounded /u/ is lowered to /o/ only after /o/ itself.
- Examples that follow illustrate this with the applicative and reversive suffixes.

## FHH in Shona

- |     |    |                    |                  |
|-----|----|--------------------|------------------|
| (2) | a. | -ip-ir-a           | ‘to be evil for’ |
|     | b. | -svetuk-ir-a       | ‘to jump in’     |
|     | c. | -per- <u>er</u> -a | ‘to end in’      |
|     | d. | -son- <u>er</u> -a | ‘to sew for’     |
|     | e. | -vav-ir-a          | ‘to itch at’     |

(Fortune 1955 in Beckman 1997:10)

## BHH in Shona

- |     |    |                      |              |
|-----|----|----------------------|--------------|
| (3) | a. | -kiy-inur-a          | ‘to unlock’  |
|     | b. | -sung-unur-a         | ‘to untie’   |
|     | c. | -pet-enur-a          | ‘to unfold’  |
|     | d. | -mon-on <u>o</u> r-a | ‘to uncoil’  |
|     | e. | -nam-anur-a          | ‘to unstick’ |

(Dale 1999:165)

# Canonical asymmetric HH: Shona, Chewa, Kisa etc.

- Many authors treat this as two distinct processes:
  - Moto (1989) on Chewa (N.31): triggers only permitted to spread [-high] to targets specified as [-round, -low] with the exception that [+round] triggers can only spread to targets specified as [+round, -low].
  - Nevins (2010:130–3) on Kisa (E.32) and Shona separates FHH and BHH, with only BHH being parasitic on [+round].
  - Mutaka (1995:43–4) and Hyman (1991) also use a parasitic stipulation.
  - Harris (1994) does not tackle asymmetry.
  
- Beckman's (1997) approach does not require this division.

## S. Kongo overview

- Of the current subset, only S. Kongo has HH but *no* front–back asymmetry.
- Unrounded /i/ is lowered to mid /e/ after both /e o/.
- Rounded /u/ is also lowered to mid /o/ after both /e o/.
- Examples follow with the applicative and reversive suffixes.

## FHH in S. Kongo

- (4)
- |    |                     |                         |
|----|---------------------|-------------------------|
| a. | -sik-il-a           | ‘soutenir, fortifier’   |
| b. | -vur-il-a           | ‘surpasser, l’emporter’ |
| c. | -leng- <u>e</u> l-a | ‘dépérir, languir’      |
| d. | -somp- <u>e</u> l-a | ‘s’attacher à’          |
| e. | -land-il-a          | ‘suivre’                |

(de Gheel 1652 in Hyman 1999:241)

## BHH in S. Kongo

- |     |    |                     |                   |
|-----|----|---------------------|-------------------|
| (5) | a. | -vil-ul-a           | ‘mouvoir, remuer’ |
|     | b. | -bub-ul-a           | ‘corrompre’       |
|     | c. | -lemb- <u>o</u> l-a | ‘barrer, effacer’ |
|     | d. | -tomb- <u>o</u> l-a | ‘faire monter’    |
|     | e. | -bang-ul-a          | ‘faire violence’  |

(de Gheel 1652 in Hyman 1999:241)



# Pende overview

- In Pende, there *is* a front–back asymmetry
- However, it is of a different kind to Shona.
- Unrounded /i/ lowers not only after /e o/ but also after /a/.
- However, as in Shona (and Lozi), /u/ only lowers after /o/.
- This is seen with the applicative and reversive suffixes.

## FHH in Pende

- |     |    |                     |                   |
|-----|----|---------------------|-------------------|
| (6) | a. | -díg-íl-a           | ‘bâtir pour’      |
|     | b. | -túng-íl-a          | ‘vendre pour’     |
|     | c. | -bemb- <u>e</u> l-a | ‘abandonner pour’ |
|     | d. | -lómb- <u>é</u> l-a | ‘demander pour’   |
|     | e. | -sas- <u>e</u> l-a  | ‘hacher pour’     |

(Niyonkuru 1978 in Hyman 1999:242)

## BHH in Pende

- |        |                    |                  |
|--------|--------------------|------------------|
| (7) a. | -shit-ul-a         | ‘défaire (nœud)’ |
| b.     | -vumb-ul-a         | ‘déterrer’       |
| c.     | -seng-ul-a         | ‘absoudre’       |
| d.     | -bóg- <u>ól</u> -a | ‘briser’         |
| e.     | -kál-úg-a          | ‘gémir’          |

(Gusimana 1972 in Hyman 1999:242)

# Bantu languages with no HH

- Among Bantu languages, only five-vowel languages lack HH (Hyman 1999:239).
  - A possible exception to this is seven-vowel Enya (D.14; see Hyman 1999:239, footnote 8).
- In these languages, ‘the distribution of mid vowels is severely restricted’ (Hyman 1999:239).

# Punu overview

- Mid /e o/ are only found root-initially (Kwenzi-Mikala 1980:8 in Hyman 1999:240).
- Initial vowels therefore have no effect on the height of vowels in potential target suffixes.
- Thus, suffixes such as the applicative and reversive are always realised with high vowels.

## No FHH in Punu

- (8)
- |              |                  |
|--------------|------------------|
| a. -kil-il-a | ‘repasser’       |
| b. -sub-il-a | ‘uriner sur’     |
| c. -ded-il-a | ‘obéir à’        |
| d. -gol-il-a | ‘se froter avec’ |
| e. -gab-il-a | ‘distribuer à’   |

(Blanchon 1995 in Hyman 1999:240)

## No BHH in Punu

- (9) a. -kip-ul-a 'découvrir'  
 b. -fung-ul-a 'révéler'  
 c. -tes-ul-a 'briser'  
 d. -dob-ul-a 'extraire, extirper'  
 e. -gab-ul-a 'séparer'

(Blanchon 1995 in Hyman 1999:240)

# Lozi overview

- As in Shona and Pende, HH in Lozi is asymmetric.
- But is rather different from either as FHH is entirely absent.
- There is no lowering of underlying high front vowels.
- And no raising of underlying mid front vowels.
- But BHH in Lozi is the same as in Shona and Pende (i.e. /u/ is lowered only after /o/).
- HH is therefore extremely restricted as a change in vowel height is effected in just a single context.



## No FHH in Lozi I

- (10) a. -lif-is-a 'to fine'  
 b. -fuluh-is-a 'to help paddle'  
 c. -belek-is-a 'to give employment'  
 d. -fol-is-a 'to wait till sunset'  
 e. -bal-is-a 'to teach to read'

(Jalla 1937, Fortune 2001)

# No FHH in Lozi II

- (11) a. -bih-el-a ‘to report to’  
 b. -fuluh-el-a ‘to paddle towards’  
 c. -fwek-el-a ‘to land at, on’  
 d. -kolop-el-a ‘to scrub (the floor) for’  
 e. -alaf-el-a ‘to nurse for’

(Jalla 1937, Fortune 2001)

## BHH in Lozi

- (12) a. -bip-ulul-a                   ‘to let fermented grain dry up’  
 b. -lut-ulul-a                                   ‘to unthatch’  
 c. -ez-ulul-a                                   ‘to do for the second time’  
 d. -bof-olol-a                                   ‘to outspan’  
 e. -amb-ulul-a                                   ‘to change one’s mind’

(Jalla 1937, Fortune 2001)

# Preliminaries I

- Beckman's (1997) analysis of canonical HH in Shona employs positional faithfulness and feature co-occurrence constraints.
- She argues against analyses using alignment constraints (Beckman 1997:26–33).
- The mid vowels /e o/ are seen as being more marked than peripheral /i u a/.
  - Cf. Moto (1989) and Harris's (1994) treatments of Chewa, where mid vowels are also considered more marked in terms of their number of features.

# Preliminaries II

- No featural underspecification for vowels:

- (13)
- a. /i/ = [+high, -low, -round, -back]
  - b. /u/ = [+high, -low, +round, +back]
  - c. /e/ = [-high, -low, -round, -back]
  - d. /o/ = [-high, -low, +round, +back]
  - e. /a/ = [-high, +low, -round, +back]

# Preliminaries III

- The ranking of the relevant constraints is as follows:

(14) IDENT(rd), IDENT(lo), IDENT- $\sigma_1$ (hi) » \*RoLo » \*MID » \*HIGH » IDENT(hi)

- These will be unpacked next.

# Preliminaries IV

- (15) a. IDENT(rd): Do not change values for the feature [ $\pm$ round] between input and output.
- b. IDENT(lo): Do not change values for the feature [ $\pm$ low] between input and output.
- c. IDENT- $\sigma_1$ (hi): Do not change values for the feature [ $\pm$ high] between input and output for a segment in the root-initial syllable.
- d. \*RoLo: Segments should not be simultaneously specified as [+round] and [-high].

# Preliminaries V

- e. \*MID: Segments should not be simultaneously specified as [-high] and [-low].
- f. \*HIGH: Segments should not be simultaneously specified as [+high] and [-low].
- g. IDENT(hi): Do not change values for the feature [ $\pm$ high] between input and output.



# Preliminaries VI

- Note that this analysis does not use, e.g., alignment constraints.
- Harmony is a result of the interaction of positional faithfulness and marked feature combinations.
- To Krämer (2003:66), this analysis appeals because, not only does it ‘impl[y] a typology of vowel harmony’ but also because the constraints used are independently motivated.

## Preliminaries VII

- Finally, a further key detail in Beckman's analysis is that, where possible, adjacent vowels share Aperture or VPlace nodes.
- Certain sequences of segments are therefore assigned fewer violations than if their nodes were not shared (since there are fewer tokens of certain autosegments).
- This minimisation of the number of autosegment tokens, along with the constraint ranking, is able to account for *asymmetric* HH.

# Walkthrough I

- Undominated IDENT(rd) prohibits changes to the feature  $[\pm\text{round}]$ .
- Similarly, IDENT(lo) prevents alterations to  $[\pm\text{low}]$ .
- This prevents raising of /a/ in any position.
- And stops harmony from applying across an intervening low /a/.

## Walkthrough II

(16)

/CoCaCiC/	IDENT(lo)	*MID	*HIGH	IDENT(hi)
a. C o C a C i C ┌───┐ ┌───┐ ┌───┐ [-lo] [-hi] [+lo] [-hi] [-lo] [+hi]		*	*	
b. C o C a C e C           Aperture Aperture Aperture ┌───┐ ┌───┐ ┌───┐ [-lo] [-hi] [+lo]   [-lo]		**!		*
c. C o C e C e C └───┬───┘ Aperture ┌───┐ [-lo] [-hi]	*!	*		*

# Walkthrough III

- Since high-ranking IDENT- $\sigma_1$ (hi) prevents changes being made to  $[\pm\text{high}]$  in initial syllables, alterations must be made to the right.
- Harmony therefore appears to propagate rightwards (as seen in the tableaux that follow).

## Walkthrough IV

- Mid /e o/ are able to surface in initial syllables because IDENT- $\sigma_1$ (hi) dominates \*MID.

(17)

/CeC/	IDENT- $\sigma_1$ (hi)	*MID	*HIGH	IDENT(hi)
a. C e C $\wedge$ [-lo] [-hi]		*		
b. C i C $\wedge$ [-lo] [+hi]	*!		*	*

# Walkthrough V

- And mid /e o/ surface in non-initial syllables following other mid vowels.
- This is thanks to multiple linking and the fact that both \*MID and \*HIGH outrank IDENT(hi).

## Walkthrough VI

(18)

	/CeCiC/	IDENT- $\sigma_1$ (hi)	*MID	*HIGH	IDENT(hi)
a.			*	*!	
b.			*		*
c.			**!		*
d.		*!		*	*



## Walkthrough VII

- But they are prevented from surfacing after high /i u/ for the same combination of reasons.

(19)

/CiCeC/	IDENT- $\sigma_1$ (hi)	*MID	*HIGH	IDENT(hi)
a. C    i    C    e    C $\wedge$ $\wedge$ [-lo][+hi][-lo][-hi]		*	*!	
b. C    i    C    i    C $\wedge$ $\wedge$ Aperture $\wedge$ [-lo][+hi]			*	*
c. C    i    C    i    C $\wedge$ $\wedge$ [-lo][+hi][-lo][+hi]			**!	*
d. C    e    C    e    C $\wedge$ $\wedge$ Aperture $\wedge$ [-lo][-hi]	*!	*		*

## Walkthrough VIII

- Ranking \*MID above \*HIGH means that [i] not [e] surfaces after [a].
- Beckman states that this as an ‘emergence of the unmarked effect (McCarthy & Prince 1994)’.

(20)

	/CaCiC/	*MID	*HIGH	IDENT(hi)
a.	C    a    C    e    C /  \ [+lo] [-hi] [-lo] [-hi]	*!		*
b.	C    a    C    i    C /  \ [+lo] [-hi] [-lo] [+hi]		*	

# Walkthrough IX

- \*RoLo (= \* [+round, -high]) militates against [o] surfacing. Beckman (1997:24) cites Kaun (1995:144) in support (see also Kaun 2004).
- It is this that prevents the inputs /e·u/ or /e·o/ from surfacing as [e·o].
- The multiple linking needed to avoid excessive violations is not possible in this instance.

## Walkthrough X

(21)	/CeCuC/	IDENT(rd)	*RoLo	*MID	*HIGH
a.	<p>           C e C u C                  / \     / \            Ap   [rd] Ap            / \   / \            [-lo] [-hi] [-lo] [+hi]         </p>			*	
b.	<p>           C e C o C              / \   / \            Aperture [rd]            / \            [-lo] [-hi]         </p>		*!	*	
c.	<p>           C e C e C              / \   / \            Aperture            / \            [-lo] [-hi]         </p>	*!		*	
d.	<p>           C e C i C                  / \     / \            Aperture Aperture            / \   / \            [-lo] [-hi] [-lo] [+hi]         </p>	*!		*	*

# Walkthrough XI

- However, this *is* possible with the inputs /o·u/ or /o·o/.
- And so the above inputs result in the height-harmonic output [o·o].

## Walkthrough XII

(22)	/CoCuC/	IDENT(rd)	*RoLo	*MID	*HIGH	IDENT(hi)
a.			*	*	*!	
b.			*	*		*
c.			**!	**		*
d.		*!	*	*	*	

# Preview

- Now I'll apply Beckman's (1997) work to:
  - S. Kongo—symmetric HH;
  - Pende—asymmetric HH with lowering after /a/ in FHH;
  - Punu—no HH and mid vowels only root-initially;
  - And Lozi—only BHH and only after /o/.
- The feature specifications used are the same as for Shona.
- And the same stipulations on multiple linking apply.
- As previously mentioned, S. Kongo and Pende pose no problems.
- Punu and Lozi, however, do.

# S. Kongo I

- The analysis of Shona is easily adapted to S. Kongo's symmetric HH system.
- This is accounted for by simply demoting \*RoLo from a high- to a low-ranking position:

(23) IDENT(rd), IDENT(lo), IDENT- $\sigma_1$ (hi) » \*MID » \*HIGH » IDENT(hi) »  
\*RoLo



## S. Kongo II

- This allows an input of /e·u/ or /e·o/ to surface as height-harmonic [e·o].

(24)

	/CeCuC/	IDENT(rd)	*MID	*HIGH	*RoLo
a.	<pre>           C   e   C   u   C                                       VPlace VPlace                             Ap   [rd] Ap           / \  / \         [-lo] [-hi] [-lo] [+hi]           </pre>		*	*!	
b.	<pre>           C   e   C   o   C                                       VPlace VPlace           / \         Aperture [rd]          / \       [-lo] [-hi]           </pre>		*		*

## S. Kongo III

- While all other outcomes remain the same as in Shona.
- E.g. the inputs /o·u/ or /o·o/ both surface as height-harmonic [o·o].

(25)

/CoCuC/	IDENT(rd)	*MID	*HIGH	*RoLo
a. C o C u C        VPlace VPlace /    \ Ap [rd] Ap [rd] /    \ [-lo] [-hi] [-lo] [+hi]		*	*!	
b. C o C o C        VPlace /    \ Ap [rd] /    \ [-lo] [-hi]		*		*

# S. Kongo IV

- Similarly, /i/ is lowered after both /e o/.
- And, mid /e o/ are not permitted to surface after high /i u/.

## Pende I

- Pende also does not pose any problems.
- Firstly, as for Shona, ranking of \*MID and \*HIGH above IDENT(hi) means that the inputs /o·u/ and /o·o/ surface as [o·o].

(26)

	/CoCuC/	IDENT- $\sigma_1$ (hi)	*RoLo	*MID	*HIGH	IDENT(hi)
a.			*	*	*!	
b.			*	*		*

## Pende II

- But \*RoLo prevents /e·u/ from surfacing as [e·o], yielding [e·u] instead.

(27)

/CeCuC/	IDENT- $\sigma_1$ (hi)	*RoLo	*MID	*HIGH	IDENT(hi)
a. C e C u C       / \       Ap   [rd] Ap / \   / \   / \ [-lo] [-hi] [-lo] [+hi]			*	*	
b. C e C o C               Aperture   [rd] / \   / \ [-lo] [-hi]		*!	*		*

## Pende III

- The added wrinkle that /a·i/ surfaces as [a·e] is dealt with by ranking \*HIGH above \*MID ensures the sequence /a·i/ surfaces as [a·e].
- Recall that for Shona, \*HIGH above \*MID are the opposite way round.

(28)

/CaCiC/	IDENT- $\sigma_1$ (hi)	*RoLo	*HIGH	*MID	IDENT(hi)
a. C    a    C    i    C $\wedge$ $\wedge$ [+lo][−hi] [−lo][+hi]			*!		
b. C    a    C    e    C $\wedge$ $\wedge$ [+lo][−hi] [−lo][−hi]				*	*

## Pende IV

- And /a·u/ is prevented from surfacing as [a·o] by high-ranking \*RoLo.
- The observed output of [a·u] is therefore predicted.

(29)

/CaCuC/	IDENT- $\sigma_1$ (hi)	*RoLo	*HIGH	*MID	IDENT(hi)
a. C a C u C                     Ap    [rd] Ap / \    / \    / \ [+lo] [-hi] [-lo] [+hi]			*		
b. C a C o C                     Ap    [rd] Ap / \    / \    / \ [+lo] [-hi] [-lo] [-hi]		*!		*	*

## Pende V

- Note that having \*HIGH outrank \*MID does not cause problems elsewhere.
- For example, /i·e/ still surfaces as [i·i] thanks to multiple linking.

(30)

/CiCeC/	IDENT- $\sigma_1$ (hi)	*RoLo	*HIGH	*MID	IDENT(hi)
a. C i C i C  Aperture [-lo] [+hi]			*		*
b. C i C e C  [-lo] [+hi] [-lo] [-hi]			*	*!	*



## Pende VI

- Likewise, /e-i/ surfaces as height-harmonic [e-e].

(31)

	/CeCiC/	IDENT- $\sigma_1$ (hi)	*RoLo	*HIGH	*MID	IDENT(hi)
a.	C e C e C Aperture [-lo] [-hi]				*	*
b.	C e C i C [-lo] [-hi] [-lo] [+hi]			*!	*	

## Pende VII

- The constraint ranking for Pende is therefore:

(32) IDENT(rd), IDENT(lo), IDENT- $\sigma_1$ (hi) » \*RoLo » \*HIGH » \*MID »  
IDENT(hi)

## Punu I

- It also appears that the constraint ranking is also relatively easily adapted for Punu.
- A lack of HH can be derived by placing IDENT(hi) between \*MID and \*HIGH:
 

(33) IDENT(rd), IDENT(lo), IDENT- $\sigma_1$ (hi) » \*RoLo » \*MID » IDENT(hi) » \*HIGH
- This does not produce lowering of non-initial high vowels by initial mid vowels in the input.

## Punu II

- This does not produce lowering of non-initial high vowels by initial mid vowels in the input.

(34)

	/CeCiC/	IDENT- $\sigma_1$ (hi)	*RoLo	*MID	IDENT(hi)	*HIGH
a.				**!	*	
b.				*		*
c.				*	*!	

## Punu III

(35)

/CoCuC/	IDENT- $\sigma_1$ (hi)	*RoLo	*Mid	IDENT(hi)	*HIGH
a. C o C o C Ap [rd] Ap [rd] [-lo] [-hi] [-lo] [-hi]		**!	**	*	
b. C o C u C Ap [rd] Ap [rd] [-lo] [-hi] [-lo] [+hi]		*	*		*
c. C o C o C VPlace Ap [rd] [-lo] [-hi]		*	*	*!	

# Punu IV

- However, this arrangement requires limiting mid vowels in the input to initial syllables.
- Otherwise, non-initial mid vowels surface following initial mid vowels.
- The height-harmonic outputs are incorrectly preferred because of multiple linking.
  - 💣 designates a candidate which is incorrectly selected as a winner;
  - ☹ designates an actual surface form which incorrectly loses.

## Punu V

(36)

	/CeCeC/	IDENT- $\sigma_1$ (hi)	*RoLo	*MID	IDENT(hi)	*HIGH
a.				**!		
☹ b.				*	*!	*
● <sup>nc</sup> c.				*		

## Punu VI

(37)	/CoCoC/	IDENT- $\sigma_1$ (hi)	*RoLo	*MID	IDENT(hi)	*HIGH
a.			***!	**		
☹ b.			*	*	*!	*
● c.			*	*		



# Punu VII

- In reality, as previously noted, mid vowels in Punu are restricted to root-initial position.
- This problem is one created by multiple linking, which is necessary to account for Shona.

## Lozi I

- The system found in Lozi poses even more of a challenge.
- The surface sequence [e·o] is disallowed.
- Thus, \*RoLo must rank higher than \*HIGH.

(38)

/CeCuC/	IDENT- $\sigma_1$ (hi)	*RoLo	*MID	*HIGH
a. C e C u C 			*	*
b. C e C o C 		*!	*	

## Lozi II

(39)

/CeCoC/	IDENT- $\sigma_1$ (hi)	*RoLo	*MID	*HIGH
<p>a. C e C u C</p> <p style="margin-left: 40px;"> </p> <p style="margin-left: 80px;">Ap [rd] Ap</p> <p style="margin-left: 40px;">/ \ / \</p> <p style="margin-left: 80px;">[-lo] [-hi] [-lo] [+hi]</p>			*	*
<p>b. C e C o C</p> <p style="margin-left: 40px;">\ /</p> <p style="margin-left: 80px;">Aperture [rd]</p> <p style="margin-left: 40px;">/ \</p> <p style="margin-left: 80px;">[-lo] [-hi]</p>		*!	*	

# Lozi III

- And, since lowering is lacking in all but one context, namely /o·u/, IDENT(hi) should rank higher than \*HIGH.
- The tableaux that follow show that ranking IDENT(hi) over \*HIGH prevents lowering in FHH contexts.

## Lozi IV

- Firstly, a height-disharmonic input remains so in the output.

(40)

	/CeCiC/	IDENT- $\sigma_1$ (hi)	*RoLo	*MID	IDENT(hi)	*HIGH
a.				*		*
b.				*	*!	

## Lozi V

- And this produces the correct output when given a harmonic input.

(41)

	/CeCeC/	IDENT- $\sigma_1$ (hi)	*RoLo	*MID	IDENT(hi)	*HIGH
a.				*	*!	*
b.				*		

## Lozi VI

- However, looking at BHH, for /o-u/ to surface as [o·o] rather than [o·u], IDENT(hi) paradoxically needs to be ranked lower than \*HIGH.

(42)

/CoCuC/	IDENT- $\sigma_1$ (hi)	*RoLo	*MID	*HIGH	IDENT(hi)
a. C o C o C Ap [rd] Ap [rd] [-lo] [-hi] [-lo] [-hi]		**!	**		*
b. C o C u C Ap [rd] Ap [rd] [-lo] [-hi] [-lo] [+hi]		*	*	*!	
c. C o C o C VPlace Ap [rd] [-lo] [-hi]		*	*		*

## Lozi VII

- Swapping round IDENT(hi) and \*HIGH results in the incorrect output with the input sequence /o·u/:

(43)

	/CoCuC/	IDENT- $\sigma_1$ (hi)	*RoLo	*MID	IDENT(hi)	*HIGH
a.			**!	**	*	
b.			*	*		*
c.			*	*	*!	



## Lozi VIII

- Though it does not alter an already height-harmonic input of /o·o/.

(44)

/CoCoC/	IDENT- $\sigma_1$ (hi)	*RoLo	*Mid	IDENT(hi)	*High
a. C o C o C Ap [rd] Ap [rd] [-lo] [-hi] [-lo] [-hi]		**!	**		
b. C o C u C Ap [rd] Ap [rd] [-lo] [-hi] [-lo] [+hi]		*	*	*!	*
c. C o C o C VPlace Ap [rd] [-lo] [-hi]		*	*		

## Lozi IX

- The two conflicting constraint rankings are:

- (34) a. IDENT(rd), IDENT(lo), IDENT- $\sigma_1$ (hi) » \*RoLo » \*MID » IDENT(hi) » \*HIGH
- b. IDENT(rd), IDENT(lo), IDENT- $\sigma_1$ (hi) » \*RoLo » \*MID » \*HIGH » IDENT(hi)

## Summary and conclusions

- I introduced Beckman's (1997) analysis of HH in Shona.
- Applied this to HH in four further five-vowel Bantu languages.
- This encounters no problems for S. Kongo and Pende.
- But does for Punu and Lozi.
- However, these two cases do not have common problematic areas.
- Beckman's analysis of canonical asymmetric HH in Shona is unable to cover the complete subset of HH dealt with here.
- It is therefore not readily generalisable to all Bantu languages.

## Future work

- Aim to find a solution applicable to all cases covered here.
- As well other five-vowel languages not yet discussed.
- And expand further to include seven-vowel languages (see Appendix).

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## Appendix: Seven-vowel languages I

- Beckman's (1997) analysis appears to be generally applicable to seven-vowel languages.

### Odden (2015)

Seven vowel languages have the potential for greater variation in vowel harmony.

- A(n incomplete) sample of such HH systems is provided next (data from Hyman 1999, Odden 2015 and elsewhere).

## Appendix: Seven-vowel languages II

Kikuyu		Nyamwezi		Kinga		Matumbi		Ndendeuli		Mongo-Nkundo	
i·e	i·o	i·ɪ	i·ʊ	i·i	i·u	i·i	i·u	i·i	i·u	i·e	i·o
u·e	u·o	u·ɪ	u·ʊ	u·i	u·u	u·i	u·u	u·i	u·u	u·e	u·o
e·e	e·o	ɪ·ɪ	ɪ·ʊ	ɪ·ɪ	ɪ·ʊ	ɪ·ɪ	ɪ·ʊ	<u>e·e</u>	e·u	e·e	e·o
o·e	o·o	ʊ·ɪ	ʊ·ʊ	ʊ·ɪ	ʊ·ʊ	ʊ·ɪ	ʊ·ʊ	<u>o·e</u>	<u>o·o</u>	o·e	o·o
<u>ɛ·ɛ</u>	ɛ·o	<u>e·e</u>	e·ʊ	<u>ɛ·ɛ</u>	ɛ·ʊ	<u>ɛ·ɛ</u>	ɛ·u	<u>ɛ·ɛ</u>	ɛ·u	<u>ɛ·ɛ</u>	<u>ɛ·ɔ</u>
<u>ɔ·ɛ</u>	<u>ɔ·ɔ</u>	<u>o·e</u>	<u>o·o</u>	<u>ɔ·ɛ</u>	<u>ɔ·ɔ</u>	<u>ɔ·ɛ</u>	<u>ɔ·ɔ</u>	<u>ɛ·ɛ</u>	<u>ɔ·ɔ</u>	<u>ɔ·ɛ</u>	<u>ɔ·ɔ</u>
a·e	a·o	a·ɪ	a·ʊ	a·ɪ	a·ʊ	a·i	a·u	a·i	a·u	a·e	a·o

Table 2: Height harmony systems in seven-vowel Bantu languages

(Guthrie codes: Kikuyu (E.51), Nyamwezi (F.22), Kinga (G.65), Matumbi (P.13), Ndendeuli (N.101), Mongo-Nkundo (C.61).)



## Appendix: Seven-vowel languages III

- It seems that the most immediate problem the current constraint set would encounter is that, in Kikuyu, [o] (= [+round, -high]) is found as the default harmonic back vowel in a system which is also asymmetric.
- Might this require that \*RoLo = \* [+round, -high] be accompanied by a similar constraint such as \*RoLax = \* [+round, -ATR]?
  - Would this additional constraint also be grounded? (i.e. à la Kaun)